

Approved by the Coordination Committee in its meeting held on 26/06/2015. Notified by the University through its letter no. Admin. 21(2)/2015/1504 dated 25/08/2015.

## **DEVI AHILYA VISHWAVIDYALYA, INDORE**

### **ORDINANCE NO.18**

### **DOCTOR OF PHILOSOPHY**

*(Revised in light of the University Grants Commission (Minimum Standards and Procedure for award of Ph.D. Degree) Regulation 2009 published in the Gazette of India on July, 11, 2009)*

#### **General Instructions**

1. The Ordinance shall come into force with effect from the date of its notification. With the enforcement of this ordinance, existing ordinance shall be repealed.
2. No Ph.D. scholar shall join any other course/study or appear at any other examination leading to a degree (except Certificate/Diploma course in languages, Research Methodology/Statistics/Computer Application) during the course of his/her Ph.D. programme.
3. A candidate for the degree of Doctor of Philosophy must, at the time of application, **hold a Master's degree or equivalent in the concerned or allied subject with at least 55% Marks (50% for SC/ST/Physically disabled candidates) of the university, a deemed university or other university incorporated by any law for the time being in force and recognized by the University. \*For Candidates belonging to the following faculties, eligibility of Candidate will be decided as follows:**
  - a. **Faculty of Ayurved – As per CCIM norms.**
  - b. **Faculty of Medicine –**
    - I. **Allopathy subjects – As per MCI norms.**
    - II. **Nursing subjects – As per INC norms.**
    - III. **Homeopathy subjects – As per CCH norms.**
  - c. **Faculty of Dentistry – As per DCI norms.**
4. A candidate shall ordinarily be permitted to work for Ph.D. Degree in the subject in which he/she has obtained his/her Master's Degree.  
Provided that, research work leads to Ph.D. Degree may be allowed in allied subject of interdisciplinary nature of the same faculty or of allied faculties. In such cases, candidates may be permitted to work under one or two co-supervisors along with the supervisor. A candidate shall ordinarily be permitted to work for Ph.D. Degree in the subject in which he/she has obtained his/her Master's Degree. **\*For Candidates belonging to the following faculties, eligibility of Candidate will be decided as follows:**
  - a. **Faculty of Ayurved – As per CCIM norms.**
  - b. **Faculty of Medicine –**
    - I. **Allopathy subjects – As per MCI norms.**
    - II. **Nursing subjects – As per INC norms.**

III. **Homeopathy subjects** – As per CCH norms.

c. **Faculty of Dentistry** – As per DCI norms.

**5.** Candidates shall be generally admitted through an entrance test followed by an interview.

**6.** Candidates who are qualified in NET for junior research Fellowship (JRF) and Foreign Nationals with an International/National fellowship like TWAS (Third world Academy of Science) shall be exempted for entrance test.

**7.** The university shall annually decide well in advance the number of seats available for Ph.D. programme in each subject. The number of seats so decided, shall be notified by the university on its website and/or through advertisement in the beginning of every academic year.

**8.** A supervisor shall not have at any given point of time, more than eight Ph.D. scholars.

Provided that, the number of candidates registered with the Co-supervisor shall not be counted for the number of candidates under a supervisor.

Provided further that, a person shall not be allowed to supervise more than eight scholars at a given point of time although he/she is registered in more than one subject/discipline/institutions.

**9.** While assessing the number of vacancies under a supervisor, the actual date of submission of Ph.D. thesis under his/her supervision shall be taken into account.

**10. Procedure of Admission :**

a. In response to the advertisement or notification of the university, candidates desirous of seeking admission to Ph.D. programme shall be required to submit applications on prescribed form (**Appendix-I**) obtainable from the university on payment of prescribed fee. The duly filled application forms along with supportive documents and the Entrance Test Fee should be submitted /sent to the office of the Registrar within the given period.

b. Candidates shall be admitted through the **Doctoral Entrance Test (DET)**, which shall be conducted for each subject separately at the University Teaching Departments.

Provided that, the colleges which are approved research centers, shall be allowed to conduct the DET for the subjects not available in the University Teaching Departments.

Provided further that, the Kulpati may assign to the allied or to any Teaching Department to conduct the DET for the subjects available only in the colleges.

c. The Kulpati shall constitute a **Departmental Research Committee (DRC)** to conduct the DET comprising of the following members:

- |  |            |
|--|------------|
| 1. Dean of the concerned faculty                       | - Chairman |
| 2. Chairman, Board of studies                          | -Member    |
| 3. Head of the Department/ Principal                   | - Member   |
| 4. One Senior Professor to be nominated by the Kulpati | - Member   |

**Note :-** The HOD/Principal will be the coordinator.

d. The functions of the DRC shall be as follows

1. To scrutinize the applications
2. To arrange the Entrance Test
3. To arrange for interview
4. To submit the final list of candidates.
5. Any related work assigned by the Kulpati

**11.(a) Entrance Test:-**

The Entrance Test shall comprise of one multiple choice objective type paper having one hundred questions of one mark each covering all the basic papers of the concerned Post Graduate course of two hours duration to assess the fundamental knowledge of the candidate. The question paper should be prepared by a panel of examiners recommended by the Examination Committee of the concerned subject. There will be no negative marking. The candidates must score minimum 50% marks (45% for SC/ST/ physically handicapped) to qualify the Entrance Test. A list of the eligible candidates shall be prepared by the committee on the basis of the result of the entrance test and submitted to the Registrar and notified accordingly.

**(b) Interview:-**

The successful candidates of the entrance test shall have to appear for an interview. The interview Board shall consist of all the members of the Departmental Research Committee (DRC) along with four subject supervisors nominated by the Kulpati taking minimum two supervisors from the colleges.

(c) The candidate are expected to bring the No objection Letter from the proposed supervisor/Co- supervisor and from the Head of the research centre. At least one of the supervisor/Co- supervisor must be from research centre. The candidate is expected to discuss their research plan and a tentative area and research work.

(d) The Board will assess the research potential of the candidates.

(e) The Committee shall finalize the list of the candidates admitted to the Ph.D. programme in the concerned subject depending upon the merit and availability of seats. It shall also allot the Research centre, Supervisor and Co-Supervisor, if any, and approve the tentative area of the proposed research work. The final list should be immediately submitted to the Registrar. After the approval of the Kulpati, the list shall be notified.

**Note:** (i) While granting admission to students to Ph.D. programmes, the committee will pay due attention to the State Reservation Policy.

(ii) The committee should also see that only the predetermined number of students shall be admitted to Ph.D. programme.

(f) If the Dean of the faculty is not available, then the Kulpati shall appoint any other Dean or a Senior Professor of the University to chair the Departmental Research Committee (DRC)

(g) Chairman and two other members form the quorum.

## **12. Allocation of supervisor :-**

The allocation of the supervisor for a selected student shall finally be decided by the DRC in a formal manner depending on the number of students for supervisor, the available specialization among the supervisors and the research interest of the students as indicated during the interview. The allotment/allocation of supervisors shall not be left to the individual student or supervisor.

## **13. Course Work :-**

(a) After having been admitted, each Ph. D. student shall be required to undertake course work of 15 credits / 250 marks one semester, for which he/she has to deposit a prescribed fee. The course work shall be treated as Pre – Ph.D. preparation. The examination scheme of credit/marks is as approved by the university The course work must comprise of :-

i. **Research Methodology**, which may include quantitative methods - 5 credit / 100 marks  
ii. **Computer Application**. – 3 credit / 50 marks

iii. **Review of Published Research in the relevant field**. --3 credit / 50 marks  
iv. **Comprehensive Viva** – 4 credit / 50 marks

(b) The course work shall be conducted in the University Teaching Departments/Colleges/Research centers as approved by the Vice chancellor.

(c) A combined course work for M. Phil/and Ph.D. students may be conducted for a single/group of subjects wherever possible.

(d) If found necessary, course work may be carried out by doctoral candidates in sister Departments /Institutes either within or outside the University for which due credit will be given to them.

(e) A candidate shall be declared to have successfully completed the course, if he/she obtains minimum 50 % passing marks or equivalent grade (c) in all the papers separately. The course work shall be evaluated by the Examiners as approved by the Vice Chancellor. The University shall issue the certificate of the Ph.D. course work in the prescribed format.( **Appendix-I** )

(f) If a candidate fails to obtain the minimum passing marks (only one paper) he/she should be given one more chance. For this, he/she has to pay an examination fee as decided by the University.

(g) If he/she further fails to obtain the minimum passing marks, he/she should be out of the course.

## **14. Registration of the student :-**

(a). After successful completion of the course work, the student is required to submit the Registration form within two months of the declaration of the result. The Registration form will be obtained from the University by depositing necessary fee.

(b). The duly filled registration form must be accompanied with a synopsis of his/her proposed research work

(in seven copies) approved by the supervisor / Co-supervisor and duly forwarded by research Centre in a prescribed format (**Appendix-II**), necessary documents and certificates along with the following fees :

- (i) Registration fee
- (ii) Tuition fee for six months
- (iii) Library fee for six months
- (iv) Library caution money (payable once only and refundable)
- (v) Laboratory fee for six months (where laboratory work is involved)
- (vi) Identify card fee
- (vii) Any other fee as decided by the university.

(c). After payment of fees along with the form of application the candidate will be provisionally admitted, provided that the application is found in order.

(d). The candidate shall be required to make an oral presentation of his/her proposed work before.

**Research Degree Committee (RDC)** consisting of the following members:

- (i) Kulpati or his nominee- Chairman
- (ii) Dean of the Faculty.
- (iii) Head of the University Teaching Department/School of Studies in the subject.
- (iv) Chairman, Board of Studies in the Subject.
- (v) One external subject expert of the rank of University Professor to be appointed by the kulpati, ordinarily out of a panel of 5 experts given by the Chairman of the Board of Studies concerned. The term of panel shall be coterminous with the Chairman Board of Studies.

External expert and two other members shall form the quorum.

**Note:** - (1) On the request of the supervisor, Kulpati may permit him/her to be present as an observer during the oral presentation of his candidate.

(e) The committee shall recommend suitability of the topic of research and the registration of the candidate for the Ph.D. degree. On approved by the RDC, the candidate shall be registered and enrolled as a student from the date on which candidate deposit the registration fee or as decided by RDC whichever is later. Candidate will also be required to pay regular tuition, library and laboratory fees (six monthly) during his research tenure.

Provided that, if the RDC approves the topic and suggests a minor change, then the candidate shall be allowed to submit a revised synopsis through the chairman BOS or Dean of the faculty or by the both.

**15.** If the RDC does not approve/recommend a candidate for registration to Ph.D. Candidate shall be allowed to make an oral presentation in the second RDC. In such cases, date of registration shall be as per the recommendation of RDC.

Provided that, if candidate fails to be present or satisfy the RDC for the second time, his/her case will be

rejected/cancelled. In such cases, the caution money deposited by the candidate shall be refunded.

**16.** A candidate shall pursue his/her research at the institution from where his/her application of registration has been forwarded.

**17.** In case of any dispute in the RDC regarding allied subjects of interdisciplinary nature, the case should be referred to the Academic council. The kulpati may constitute a committee in this regard and the report of the committee should be placed before the Academic council/standing committee.

**18.** The meeting of the Research Degree Committee shall be held in the University Office twice a year preferably in a gap of six months. The committee shall recommend the eligibility of the person for the appointment as Supervisor/ Co-supervisor and prepare a list accordingly. This list shall be available with the Registrar.

Provided that, a candidate permitted to work in a research establishment recognized by the university shall be required to take at least one Co-Supervisor along with the Supervisor, one of them should be the teacher of the University and the other a Teacher/Scientist/ Scholar/Director of the institution where the candidate is actually working.

Also provided that, a candidate may be permitted to carry out his practical work in a Research Institution/Research Laboratory/Laboratory of a University recognized department for the purpose, under the supervision of a Scientist/ Director/ Teacher of the Institution who may or may not be the Co-supervisor of the candidate.

**19. Eligibility of Supervisors/Co-supervisors –**

(a) The person recommended as Supervisor/Co-supervisor to guide the Research Scholar must be:-

(i) A Professor/Associate Professor/Reader in a University Teaching Department/School of Studies or a College affiliated to the University possessing a Doctorate degree and has published five research papers in Standard Research Journals. **OR**

(ii) A Lecturer/Asst. Professor of a University Teaching Department/School of Studies/College affiliated to the University who has obtained a Doctorate degree in the subject and has published at least five Research Papers in standard journals and has at least 5 year teaching experience after Ph.D.

**OR**

(iii) A Scientist/Scholar/Director working in a research institute/organization/establishment/laboratory recognized by the University as a research centre who has obtained a doctoral degree and published 5 research papers on concerned subject in standard research journals and has 5 years' post-doctoral research experience.\***For persons belonging to the following faculties, eligibility of Supervisors/Co-Supervisors will be decided as follows:**

a. **Faculty of Ayurved** – As per CCIM norms.

**b. Faculty of Medicine –**

I. **Allopathy subjects** – As per MCI norms.

II. **Nursing subjects** – As per INC norms.

III. **Homeopathy subjects** – As per CCH norms.

c. **Faculty of Dentistry** – As per DCI norms.

(b) The person recommended as Co-supervisor to guide Research Scholar together with supervisor must be a Teacher/Scientist/Director of any University/Research Establishment, who has obtained a Doctorate degree, and has published at least five research papers in Standard Research Journals.

(c) A recognized supervisor who fails to publish any research paper over duration of five years shall not be eligible to enroll any new candidate under his Supervision.

Provided that, the persons who have been recognized as Supervisor/Co-supervisor shall be eligible to supervise even after their superannuation only with their consent. They have to submit their consent for the same to the university. A person who wants to get himself/herself recognized as a Supervisor/co-Supervisor shall apply in the prescribed format duly forwarded by HOD/Principal/Director.

Provided that, the teacher who has been recognized as Supervisor/Co-supervisor under the repealed ordinance shall continue to be recognized as Supervisor /Co-Supervisor.

Provided further that, a person who is himself registered for Ph.D. degree of any University shall not be eligible to act as Supervisor/Co-supervisor or member of any committee mentioned in this Ordinance.

**20.** (a) The candidate shall pursue his research at the approved place of research under the supervisor/Co-supervisor on the approved subject. The candidate shall be permitted to submit his/her thesis not earlier than 24 months and not later than four calendar years, from the date of registration. In case a candidate does not submit his/her thesis within four calendar years from the date of registration and does not apply for extension in time, his/her registration shall stand automatically cancelled.

Provided that the period for submission of thesis can be extended by one year by the Kulpati, if he/she applies for extension at least one month before the expiry of registration period together with a prescribed fee. In case the candidate does not submit his/her thesis within the extended period his/her registration stand automatically cancelled.

Provided also that, Kulpati may permit a candidate to get registered on the same topic on payment of re-registration fee. The minimum period of 24 months and attendance shall not apply to such reregistered candidates.

(b)The candidate possessing M.Phil degree or a teacher with 5 years teaching experience at the time of registration can submit his/her thesis after 18 month instead of 24 months.

(c) The candidate shall put in at least 200 days attendance in the Institution concerned or with the Supervisor.

**21.** A candidate may be allowed to change the Supervisor by the Kulpati, on the recommendation of a

committee constituted by the Kulpati for this purpose under special circumstances. No major change in the topic of research will be permitted due to change of supervisor.

**22.** (a) The University shall obtain every six months a record of attendance, receipt of fees paid and a progress report on a prescribed format (**Appendix – III**) of the work of the Research Scholar from his supervisor.

(b) If the progress of work is not found satisfactory in two successive reports or no reports are received for a period of one year and the candidate fails to deposit the fee, the kulpati may order the removal the name of the Scholar from the list of those registered for the Ph.D. Degree.

**23.** Prior to submission of the thesis, the student shall make a pre-Ph.D. presentation at the Research Centre preferably in the UTD or the college. Arrangement for this shall be made on the request from the candidate, duly recommended by the supervisor. The presentation shall be open to all faculty members, research scholars and students to get feedback and comments, which may be suitably incorporated into the draft thesis under the advice of the supervisor.

**24.** The student shall have to publish one research paper in a referred journal before the submission of the thesis and produce evidence for the same in the form of acceptance letter/or the reprint.

**25.** (a) The candidate shall submit six copies of the summary of the thesis together with a list of research papers published/communicated for publication, if any, through his Supervisor to the Registrar about three months prior to the anticipated date of submission of thesis.

(b) The Supervisor shall submit a panel of at least six names of examiners actively engaged in the concerned area of research not below the rank of Reader or college Professor in a sealed cover to the Registrar. Provided that the panel of examiners shall be obtained from the Head University Teaching Department/School of Studies/chairman Board of Studies of the subject concerned, in case the candidate is related\* to the Supervisor.

(c) On the receipt of the panel of examiners from the Supervisor and summary from the candidate, the Registrar shall call a meeting of Examination committee of the subject concerned. Considering the panel submitted by the Supervisor/Head University Teaching Department/School of Studies/Chairman, Board of Studies, and the committee shall prepare a panel of examiners of six names.

(d) The Kulpati shall appoint out of the panel submitted by the Examination Committee, four examiners in order of preferences. Out of which at least two shall be from out of the state. The consent of examiners shall be obtained by sending them the summary and list of publications.

**Note:** - Supervisor/Head of the department/Chairman Board of Studies as well as Examination Committee can recommend the name of foreign examiners. In case a foreign examiner appointed by the kulpati and the candidate wishes the thesis to be sent by AIR MAIL he/she shall have to bear the charges on AIRMAIL.

**\*The term relations shall include : Father, Mother, Wife, Husband, Daughter, Son, Grand Son, Grand**

**Daughter, Brother, Sister, Nephew, Niece, Grand Nephew/Niece, Uncle, Aunt, Son-in law, sister-in-law, father-in-law, mother-in-law, first cousin-in-law etc.**

**26.** The candidate shall submit three types written/ photocopies hardbound thesis and soft copy in the form of CD (Two) along with the following:-

- (a) Minimum one Research Paper published or accepted for publications in a referred journal.
- (b) The thesis must be accompanied by a declaration from the candidate that the thesis embodies his own work and he/she has worked under the Supervisor at the approved place of work for the required period as per **provisions of the Ordinance (Appendix –IV)**
- (c) The Certificate from the Supervisor together with Co-supervisors, if any, that the thesis fulfills the requirements of the ordinance relating to the Ph.D. Degree of the University (**Appendix – V**)
- (d) The candidate shall also remit with the thesis a prescribed examination fee.

**27.** On receipt of the thesis along with the certificate and fee, it shall be sent to the examiners.

**28.** The thesis to be accepted for the award of the Ph.D. degree must comply with the following conditions :-

- (a) It must be a piece of research work characterized either by the discovery of the facts or by a fresh approach towards the interpretation of facts in either case it should evince the candidate's capacity for critical examination and sound judgment.
- (b) It must be satisfactory in point of language and presentation of the subject matter.

**29.** The examiners shall categorically recommend in the prescribed performa the acceptance/revision or rejection of the thesis together with detailed comments on the points spelled out in clause 28 of the Ordinance. The examiner must also give a list of the questions he wishes to be asked at Viva-voce examination.

**30.** The kulpati can recall the thesis from an examiner who fails to sent the report within two months of the date of dispatch of the thesis and appoint another examiner.

**31.** (a) In case both the examiners reject the thesis or one of them rejects the thesis and the other recommends a revision, the thesis shall be rejected.

(b) If both the examiners recommend for the revision of the thesis, the candidate shall be called upon to revise the thesis in the light of the observation of examiners.

(c) If one examiner approves the thesis and the other rejects it or recommends for revision the thesis shall be sent to the third examiner drawn from the panel of examiners by the Kulpati without the reports of earlier examiners. The third examiner shall be asked to give his/her opinion and this opinion shall be final.

(d) In case both the original examiners accept the thesis for the award of the Ph. D. Degree or in the event of it being referred to the third examiner, the third examiner accepts the thesis for the award of the Ph.D. Degree, the candidate shall be called upon to appear at the viva-voce examination before a board of examiners comprising of the Supervisor/Co-supervisor (if any) and one of the two examiners (appointed by the Kulpati)

who have accepted the thesis for the award of the Ph.D. degree. Provided that the Kulpati shall appoint Head Department/School of Studies or Chairman Board of Studies of the subject concerned to act as viva-voce examiner in place of the Supervisor, in case the candidate is related to the Supervisor.

(e) The Supervisor/Head University Teaching Department/School of Studies/ Chairman, Board of Studies of the subject concerned, as the case may be, shall be communicated the name of the external examiner appointed by Kulpati to conduct the viva-voce examination. The date fixed for the viva-voce be informed to the candidate and the Registrar.

Provided that (looking to the) in special circumstances, Kulpati may appoint alternate viva-voce examiner if both the examiners are not in a position to conduct the viva-voce examination.

(f) The viva- voce examination shall be conducted at the University Teaching Department/School of Studies in the subject or at any place fixed by the University for the purpose. The date, time and place of viva-voce examination shall be notified by the Registrar on the University notice board at least a week in advance. At the time of viva-voce examination, the board of examiners shall be provided the reports of the examiners which shall be returned along with the report of viva-voce examination to the Registrar.

(g) The candidate shall present the work embodied in the thesis before the board of examiners, members of faculty, research scholars and other interested persons. After the presentation of the research work, the Board shall ask questions together with those questions which have been given along with examiners reports. Others in the audience can also ask questions and the candidate shall reply only those questions which are permitted by the external examiner.

(h) In case the recommendations of the vivo-voce examiners differ from that of the thesis examiner or there is difference of opinion between the viva-voce examiners, the candidate shall reappear at a second viva-voce examination within six-months. If the candidate fails to satisfy the viva-voce examiners a second time, his/her thesis shall be finally rejected. Such candidates would be required to pay an additional prescribed fee for second viva-voce. The external examiner for second viva-voce shall be appointed by the Kulpati.

**32.** If the examiners recommend that the candidate be asked to revise/improve his thesis, the Kulpati shall permit the candidate to resubmit his thesis not earlier than six months and not later than eighteen months, the period being counted from the date of the issue of order to the candidate. The candidate shall be provided examiners(s) reports without disclosing the names of the examiners to enable him/her to improve the thesis. All the copies of thesis shall also be returned to the candidate.

In case the candidate is allowed to resubmit the thesis he/she will have to pay a prescribed fee afresh at the time of resubmission, but it shall not be necessary for him to reproduce any certificate of further attendance at the institution at which he/she carried out the work.

The resubmitted three copies with a soft copy in the form of CD(2) of the thesis must make clear mention

that it is a revised version.

The thesis shall be got examined as far as possible by the examiners who finally recommended for the revision.

In case both the examiners of revised thesis accepted the thesis for the award then the candidate shall be awarded the Ph.D. degree on successful viva-voce examination as per provisions of clause 31 of the Ordinance.

In case a candidate is asked to revise the thesis under clause 31(b) and one of the examiners recommends again for revision and the other accepts the thesis for award then the thesis shall be sent to the third examiner, if the third examiner rejects or recommends for revision the thesis shall stand rejected. If he accepts for the award then the candidate shall be awarded the Ph.D. degree on successful viva-voce examination as per provision of clause 31 of the Ordinance.

In case a candidate who has been asked to revise the thesis under class 31 (c) and one of the examiners recommends again for revision and the other accepts the thesis for award then the candidate shall be awarded the Ph.D. Degree on successful viva-voce examination as per provision of clause 31 of the ordinance. If the revised thesis is required to be revised a second time, it shall automatically stand rejected.

**33.** The thesis shall be published only with the permission of the University and such publication shall state on the title page itself that this was a thesis approved for the award of the Ph. D degree of the University.

**34.** After the viva-voce, the recommendation of the examiners shall be reported to the Executive Council for the award of Ph.D. degree to the candidate. One copy of the thesis will be kept in the University Library and another copy will be kept in the Departmental Library of the Institution where the research work was carried out, the third will be returned to the supervisor. One soft copy (CD) of the thesis shall be sent to the UGC and the other shall be kept in the library of the University.

**35.** After the declaration of the result the successful candidates may be provided the copies of reports of examiners who recommended for the award of the degree on payment of prescribe fee. The reports will not be disclosed the identity of the examiners.

**36.** On detection of any irregularity, the University shall take suitable steps to withdraw the degree as per provisions of Section 6 [12] of the Adhinyam.

**Depository with UGC :-**

**37.** Following the successful completion of the evaluation process and announcement of the award of Ph.D., the University shall submit a soft copy of the Ph.D. thesis to the UGC within a period of thirty days for hosting the same in INFLIBNET, accessible to all Institutions/Universities.

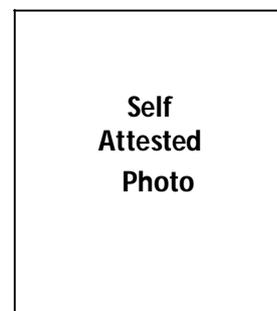
**38.** Along with the Degree, the University shall issue a provisional certificate certifying to the effect that the degree has been awarded in accordance with the provisions of the regulations of the UGC.

PriceRs:1000/-

**Ph.D. Entrance Test Form (Para 10a)**  
**DEVI AHILYA VISHWAVIDYALAYA, INDOR**  
**Accredited as Grade A by NAAC**

[www.dauniv.ac.in/PhD](http://www.dauniv.ac.in/PhD).

**Ph.D. Admission in University Departments/ Schools/ Institutes/Affiliated Colleges and  
Approved Research Centres**



**DET-20 \_ \_**

**Subject:** .....

**Faculty:** .....

**Test Centre:** .....

**Proposed Research Centre:** .....

**Category- Unreserved/SC/ST/OBC/PH/FF/JK.....**

**(Attach Photocopy of proof)**

**Fee Details : Bank Draft No..... Bank & Branch: .....**

**Date : .....Amount..Rs : .....**

1. Applicant's Name (in Hindi) .....

(in English).....

2. (a) Gender: Male/Female..... (b.) Nationality.....

3. Date of Birth.....

4. Blood Group.....

5. Mother's Name.....

6. Father's Name.....

7. (a) Address for Correspondence .....

.....

.....

Email:..... Phone/Mobile No. ....

(b) Permanent .....

.....

.....

8. Enrolment No. of DAVV (If Applicable).....

9. Domicile of M.P. (Proof required).....

**10. Educational Qualifications**

| Class | Board/University | Year | Division | % of Marks/<br>Grades | Subjects |
|-------|------------------|------|----------|-----------------------|----------|
|       |                  |      |          |                       |          |
|       |                  |      |          |                       |          |
|       |                  |      |          |                       |          |

|  |  |  |  |  |  |
|--|--|--|--|--|--|
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

11. CSIR/UGC NET: Yes/No Year.....

12. MPSLET: Yes/No Year.....

13. SLET (UGC Accredited) Yes/No Year.....

14. GATE: Yes/No Year.....

5. M.Phil.(Through Entrance Test): Yes/No Year.....

16. Any other relevant information.....

.....

Place:

Date:

(Signature of Applicant)

#### Instructions for filling the Application Form:

1. The candidate is advised to apply for admission only if he/she fulfils the eligibility requirements.
2. Application form maybe downloaded from the website [www.dauniv.ac.in/Ph.D](http://www.dauniv.ac.in/Ph.D). The duly filled form should be submitted with prescribed application fee of Rs.1000/- through Demand draft in favour of "Registrar, DAVV, Indore", payable at Indore, along with attested copies of all relevant documents.
3. The candidate appearing in the DET is required to bring photocopy of the application form along with the proof of the form submitted at the DET centre. No separate admit card will be issued.

**Parents/Guardian Declaration**

I, Father/Mother/Guardian of.....who is seeking admission in Department /School/Institute of..... declare that my ward will act as per rules of the University, Program, Department etc. and not involve in any antisocial activities/ragging. We know that ragging is totally banned in the Institute. It is a cognizable offence. If my ward is found indulged in any type of ragging/ disciplinary activity he/she will be punished by the Institute as per the rules of the D e v i A h i l y a V i s h w a v i d y a l a y a , Indore. I take complete responsibility of his/her overall conduct in the University, Department and class.

**Place:**

**Date:**

**(Signature of Applicant)**

**(Signature of Parents/Guardian)**

**Address.....**

.....

.....

**Phone/ Mobile: .....**

**E-mail:.....**

**PROFORMA FOR SYNOPSIS (Para 14b)**

1. Title of the Thesis
2. Introduction giving Purpose of Research (in about 200 words)
3. A brief review of the work already done in the field
4. Noteworthy contributions in the field of proposed work
5. Objectives/hypothesis of the proposed Research
6. Proposed methodology of the Research work
7. Expected outcome of the proposed work
8. Bibliography in standard format
9. List of published papers of the candidate

**Signature of the Supervisor**

**Signature of the Candidate**

**CONFIDENTIAL (Para 22a)**

Six monthly progress Report of the Research work done for the period from \_\_\_\_\_ to \_\_\_\_\_ of the Research Scholar.

1.Name of the Research Scholar

2.Subject

3.Topic registered for Ph.D. Degree

4.Name of the Supervisor

5.Description of the guidance of the topic

Period with dates the candidate has been with the guide for research work. (It may also indicate the date of leave availed by the candidate during the above period.)

Remarks of the Supervisor on the work done by the candidate on topic.

Fees paid vide Receipt No. \_\_\_\_\_ date \_\_\_\_\_.

**Signature of the Supervisor**

**DECLARATION BY THE CANDIDATE (Para 26 b)**

I declare that the thesis entitled \_\_\_\_\_

\_\_\_\_\_

is my own work conducted under the supervisor of Dr. \_\_\_\_\_

\_\_\_\_\_ (Supervisor/Co-supervisor) at

\_\_\_\_\_

(Centre) \_\_\_\_\_

approved by Research Degree Committee. I have put in more than 200 days of attendance with the supervisor at the centre.

I further declare that to the best of my knowledge the thesis does not contain any part of any work which has been submitted for the award of any degree either in this University or in any other University/Deemed University without proper citation.

**Besides this-**

1. I have successfully completed the Course work of one semester as per UGC-Regulation 2009 norms.
2. I have also given a pre-Ph.D. presentation and successfully incorporated the changes suggested on the basis of feedback and comments received.
3. I have also published one research paper in a ISSN/referred journal from the research work of the thesis and has produced an evidence of the same in the form of acceptance letter/or the reprint.

**Signature of the Candidate**

**Signature of the Supervisor**

**Signature of Head UTD/Principal**

**APPENDIX-IV**

**CERTIFICATE OF THE SUPERVISOR (Para 26 c)**

**CERTIFICATE**

This is to certify that the work entitled \_\_\_\_\_ is a piece of research work done by Shri/Smt./Ku. \_\_\_\_\_ under my/our guidance and supervision for the degree of Doctor of Philosophy of Devi Ahilya Vishwavidyalaya, Indore (M.P.) India. That the candidate has put in an attendance of more than 200 days with me.

To the best of my knowledge and belief the thesis:

01. Embodies the work of the candidate himself/herself.
02. Has duly been completed;
03. Fulfills the requirements of the ordinance relating to the Ph.D. degree of the University, and
04. Is upto the standard both in respect of contents and language for being referred to the examiner?

**Signature of the Co-Supervisor**

**Signature of the Supervisor**

**Forwarded**

**Signature of Head UTD/Principal**

**Devi Ahilya Vishwavidyalaya, Indore**  
**CERTIFICATE Ph.D. Course Work**

**Subject:** ----- **Faculty:** -----

This is to certify that Mr/Mrs/  
Ms.....  
son/daughter/wife of

..... has successfully  
completed the Ph.D. Course Work conducted by the School/ Institute

..... during ..... 20 \_\_.

The Ph.D. Course Work is as per UGC Regulation 2009 and the Ph.D. Ordinance No 18 of the  
University. The credit and the grade earned by the candidate are as follows-

| S.No. | Subject              | Credit<br>Points<br>(C) | Grade Obtained by the<br>Candidate<br>(G) | C * G |
|-------|----------------------|-------------------------|---|-------|
| 1.    | Research Methodology | 5                       | -----                                     |       |
| 2.    | Computer Application | 3                       | -----                                     |       |
| 3.    | Review of Literature | 3                       | -----                                     |       |
| 4.    | Comprehensive Viva   | 4                       | -----                                     |       |
| Total |                      | 15                      |   | _____ |

**The SGPA of the Ph.D. Course Work is ..... which is equivalent to.....%**

**Course Co-ordinator / Advisor**

**Head**

**Registrar**

**Date of Issue** \_\_\_\_\_

## Devi Ahilya Vishwavidyalaya, Indore

## Doctor of Philosophy (Ph.D.)

FEE STRUCTURE**A. Pre-Registration:**

| Sr. No. | Particulars  | Amount (Rs.) | Remarks       |
|---------|--|--------------|---------------|
| 1.      | Application fee for Entrance Test                  | 1000         | One time      |
| 2.      | Course work fee*                                   | 10000        | One time      |
| 3.      | IT Infrastructure Fee                              | 500          | One time      |
| 4.      | Examination fee for course work                    | 1000         | One time      |
| 5.      | Repeat examination fee for course work (per paper) | 500          | If applicable |

**B. Registration and onwards:**

| Sr. No. | Particulars  | Amount (Rs.) | Remarks                   |
|---------|--|--------------|---------------------------|
| 1.      | Registration Form fee                                    | 500          | One time                  |
| 2.      | Registration fee   | 5000         | One time                  |
| 3.      | Research Centre fee*                                     | 3000         | per Semester / Six months |
| 4.      | Laboratory fee for research work (optional, if required) | 3000         | per Semester / Six months |

|     |  |        |                           |
|-----|--|--------|---------------------------|
| 5.  | Caution Money  | 3000   | Refundable                |
| 6.  | IT Infrastructure Fee  | 500    | per Semester / Six months |
| 7.  | Identity Card Fee  | 100    |                           |
| 8.  | Univ. Library fee  | 1000   | per Semester / Six months |
| 9.  | Univ. Library Caution Money  | 1000   | Refundable                |
| 10. | Extension fee (after 4 years)  | 2000   | If applicable             |
| 11. | Re-registration fee  | 5000   | If applicable             |
| 12. | Examination fee for thesis evaluation  | 7000   | One time                  |
| 13. | Examination fee for revised thesis evaluation                                      | 5000   | If applicable             |
| 14. | Fee for second viva voce examination (if one fails in first viva-voce examination) | 5000   | If applicable             |
| 15. | Certified copy of the Examiner's reports   | 1000   | If required               |
| 16. | For Foreign national / NRI**   | USD500 | per Semester / Six months |

\* Includes departmental computer lab. and library fees.

\*\* Includes Research centre fee, Laboratory fee, IT infrastructure fee, and University library fee.

Other fees will be charged in INR as mentioned above.

**APPENDIX-VIII****Devi Ahilya Vishwavidyalaya, Indore****Doctor of Philosophy (Ph.D.)****REMUNERATIONS****A. Entrance Test:**

| <b>Sr. No.</b> | <b>Particulars</b>   | <b>Amount (Rs.)</b> | <b>Remarks</b>         |
|----------------|--|---------------------|------------------------|
| 1.             | Paper setting  | 25                  | Per question           |
| 2.             | Centre Superintendent for 2 days   | 500                 | Per day                |
| 3.             | Assistant Superintendent for 2 days<br>(two, if applicants are more than 200)              | 350                 | Per day                |
| 4.             | Class-I / II officers for any duty for 2 days  | 350                 | Per day                |
| 5.             | Invigilators (one invigilator for 20 applicants)<br>(one reliever for each 100 applicants) | 300                 | Per invigilator        |
| 6.             | Class-III employees (one for each 100 applicants)-for 2 days                               | 200                 | Per day                |
| 7.             | Class-IV employees (one for each 50 applicants)-for 2 days                                 | 150                 | Per day                |
| 8.             | Contingency (@ Rs. 20 per applicant)   | 500                 | Minimum                |
| 9.             | Evaluation of response sheet   | 20                  | Per sheet              |
| 10.            | Head examiner (in case of two or more paper setters/ examiners are involved)               | 1000                |                        |
| 11.            | Printing and processing of question paper  | -                   | As per actual          |
| 12.            | Conduct of interview and scrutinizing by DRC members                                       | 500                 | Per day per DRC member |

**B. Course Work:**

| <b>Sr. No.</b> | <b>Particulars</b>  | <b>Amount (Rs.)</b> | <b>Remarks</b> |
|----------------|---|---------------------|----------------|
| 1.             | Paper setting for final exam.                                     | 1000                | Per paper      |
| 2.             | Practical exam. @ Rs. 10 per candidate                            | 200                 | Minimum        |
| 3.             | Evaluation of final exam. answer sheets @ Rs. 20 per answer sheet | 200                 | Minimum        |
| 4.             | Evaluation of literature review @ Rs. 100 per review              | 500                 | Minimum        |
| 5.             | Comprehensive viva-voce   | 500                 | Per examiner   |

**C. RDC and Thesis Evaluation:**

| <b>Sr. No.</b> | <b>Particulars</b>                      | <b>Amount (Rs.)</b> | <b>Remarks</b> |
|----------------|---|---------------------|----------------|
| 1.             | Remuneration to external expert for RDC | 1000                | Per day        |
| 2.             | Thesis evaluation (external examiner)   | 2500                |                |
| 3.             | Thesis evaluation (internal examiner)   | 2500                |                |
| 4.             | Viva-voce                               | 1000                | Per examiner   |